

## PART B - FEE(S) TRANSMITTAL

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NOV 13 2006

Complete and send this form, together with applicable fee(s), to: MailMail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
(571) 273-2885

or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or see Block 1)

20985

7590

09/26/2006

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Susan Sesnovich

(Depositor's name)



(Signature)

November 13, 2006

(Date)

FISH &amp; RICHARDSON P.C.

P.O. Box 1022

Minneapolis, MN 55440-1022

11/15/2006 TIRANE 00000058 061050 10677809

01 FC:2501 700.00 DA  
02 FC:8001 15.00 DA

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10677809	10/01/2003	X. Steve Yao	12361-026001	9792

TITLE OF INVENTION: OPTICAL DEVICES WITH FOLDED OPTICAL PATH DESIGNS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	5700		5700	12/26/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
ALLEN, STEPHONE B.	2878	

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Fish &amp; Richardson P.C.

2.

3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY and STATE OR COUNTRY)

General Photonics Corporation

China, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 5

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1050 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered agent or, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

(Date)

November 13, 2006

Typed or Printed Name Bing Ai

Registration No. 43,112

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)

SUBSTITUTE PTOL-85 (Rev. 12/04) Approved for use through 04/30/2007.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Attorney's Docket No.: 12361-026001

**OFFICIAL COMMUNICATION FACSIMILE:**

**OFFICIAL FAX NO: (571) 273-8300**

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Number of pages including this page 4

**NOV 13 2006**

Applicant : X. Steve Yao  
Serial No. : 10/677,809  
Filed : October 1, 2003

Art Unit : 2878  
Examiner : Stephone B. Allen

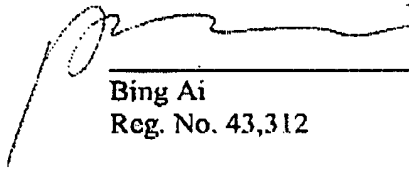
Title : Optical Devices with Folded Optical Path Designs

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

A Response to Notice of Allowance dated **November 13, 2006** is attached.

Respectfully submitted,

Date: November 13, 2006

  
\_\_\_\_\_  
Bing Ai  
Reg. No. 43,312

Fish & Richardson P.C.  
12390 El Camino Real  
San Diego, California 92130  
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10682913.doc

NOTE: This facsimile is intended for the addressee only and may contain privileged or confidential information. If you have received this facsimile in error, please immediately call us collect at (858) 678-5070 to arrange for its return. Thank you.

Attorney's Docket No.: 12361-026001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : X. Steve Yao                      Art Unit : 2878  
Serial No. : 10/677,809                      Examiner : Stephone B. Allen  
Filed : October 1, 2003  
Title : OPTICAL DEVICES WITH FOLDED OPTICAL PATH DESIGNS

MAIL STOP ISSUE FEE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Notice of Allowance Date: September 26, 2006

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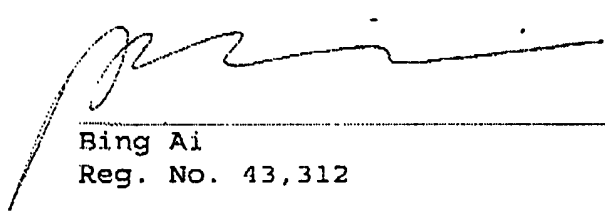
NOV 13 2006

RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed September 26, 2006, enclosed is a completed issue fee transmittal form PTOL-85b. Please charge the total fees of \$715, which includes patent copies, and any other charges or credits to our Deposit Account No. 06-1050.

Respectfully submitted,

Date: November 13, 2006

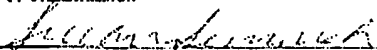
  
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CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

November 13, 2006  
Date of Transmission

  
Signature

Susan Sennovich  
Typed or Printed Name of Person Signing Certificate